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10/063,713	05/08/2002	Dan L. Eaton	P3230R1C001-168	8612
30313	7590 01/13/2005		EXAM	INER
KNOBBE, MARTENS, OLSON & BEAR, LLP 2040 MAIN STREET			KAUFMAN, CLAIRE M	
IRVINE, CA			ART UNIT	PAPER NUMBER
,			. 1646	-
			DATE MAILED: 01/13/2005	5

Please find below and/or attached an Office communication concerning this application or proceeding.

		Applicati n No.	Applicant(s)	
•		10/063,713	EATON ET AL.	
Office Action Summary		Examin r	Art Unit	
		Claire M Kaufman	1646	
Period fo	Th MAILING DATE of this communication apported in the mail of the communication apported in the mail of the mail of the communication apported in the mail of the communication apported in the communication apporte	pears on the cover sheet with the c	orrespondenc address	
A SH THE - Exter after - If the - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR REPL MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. period for reply specified above is less than thirty (30) days, a repl or period for reply is specified above, the maximum statutory period are to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailined patent term adjustment. See 37 CFR 1.704(b).	I36(a). In no event, however, may a reply be timely within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE!	nely filed s will be considered timely. the mailing date of this communication O (35 U.S.C. § 133).	n.
Status				
1)⊠	Responsive to communication(s) filed on <u>08 N</u>	<u>1ay 2002</u> .		
,	·	s action is non-final.		
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.			
Dispositi	ion of Claims			
5)□ 6)⊠ 7)□	Claim(s) 1-20 is/are pending in the application 4a) Of the above claim(s) is/are withdra Claim(s) is/are allowed. Claim(s) 1-20 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction and/or	wn from consideration.		
Applicati	ion Papers			
10)⊠	The specification is objected to by the Examine The drawing(s) filed on <u>08 May 2002</u> is/are: a Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Examine The specific and the spec	□ Accepted or b) □ objected to I drawing(s) be held in abeyance. See tion is required if the drawing(s) is objected.	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121((d).
Priority (under 35 U.S.C. § 119			
a)l	Acknowledgment is made of a claim for foreign All b) Some * c) None of: 1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Burea See the attached detailed Office action for a list	ts have been received. ts have been received in Applicati rity documents have been receive u (PCT Rule 17.2(a)).	on No ed in this National Stage	
2) Notice	t(s) se of References Cited (PTO-892) se of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) ser No(s)/Mail Date 9/17/02.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:		

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DETAILED ACTION

Claim Rejections - 35 USC §§ 101/112, First Paragraph

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-20 are rejected under 35 U.S.C. 101 because the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility.

The claims are drawn as narrowly to a nucleic acid comprising SEQ ID NO:81 or as broadly to a nucleic acid at least 80% identical to a nucleic acid encoding an extracellular domain of SEQ ID NO:82. The specification asserts a number of utilities for the encoding nucleic acid, however, these utilities are not specific and substantial or well established. The encoding nucleic acid cannot derive a utility from the encoded polypeptide because there is neither a known physiological or clinical significance of the polypeptide, and the prior art does not support a very close structural relationship to a well described (structurally and functionally) family of known proteins.

An asserted utility is in drug screening and rational drug design. The method involves screening for "agents which can affect a PRO polypeptide-associated disease or disorder" (p. 135, ¶[0507]). No disease or disorder is known to be associated with the claimed polypeptide or encoding nucleic acid. The use of a nucleic acid in an array for screening is only useful in the sense that the information that is gained from the array is dependent on the pattern derived from the array, and says nothing with regard to each individual member of the array. This is a utility which would apply to virtually ever member of a general class of materials, such as any collection of proteins or DNAs. Even if the expression of the claimed nucleic acid is affected by a test compound in an array for drug screening, the specification does not disclose any specific and substantial interpretation for the result, and none is known in the art. Given this

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consideration, the individually claimed nucleic acid has no "well-established" use. The artisan is required to perform further experimentation on the claimed material itself in order to determine to what use any expression information regarding this nucleic acid could be put.

The need to know expression levels instead of relying on vague terms such as "more highly expressed" is illustrated in the following research article: Hu et al. (2003, Journal of Proteome Research 2:405-412) analyzed 2286 genes that showed a greater than 1-fold difference in mean expression level between breast cancer samples and normal samples in a micoarray (p. 408, middle of right column). Hu et al. discovered that, for genes displaying a 5-fold change or less in tumors compared to normal, there was no evidence of a correlation between altered gene expression and a known role in the disease. However, among genes with a 10-fold or more change in expression level, there was a strong and significant correlation between expression level and a published role in the disease (see discussion section).

Additionally, even if there is upregulation of a gene in a tumor, that tumor is not necessarily vascularized (or, by inference, malignant). Wu et al. (Gene 311:105-110, 2003; paragraph bridging col. 1-2 on page 109) report that:

"Interestingly, we observed the up-regulation of BNF-1 not only in breast cancer patients, but also in lung and colon cancer patients, which suggests that the over-expression of BNF-1 is independent of specific tumor type. However, the pathological information provided by commercial companies for these tumor RNAs and cDNAs does not include the vascularized state of the tumor tissues. Therefore, the relationship between the up-regulation of the BNF-1 in tumor tissues and tumor vascularization is not determined in this study."

If companies providing tumor tissues for expression studies do not supply detailed information about the tumors, then interpretation of expression studies using commercial samples becomes more difficult. It is noted that the "BNF-1" gene of Wu et al. (published after the effective filing date of this application) is identical to the coding region of SEQ ID NO:81 of the instant application. Further, while Wu et al. found overexpression in breast, colon and lung tumors, the instant application apparently did not (Fig. 4).

Another possible utility comes for the finding that the encoding polynucleotide is "more highly expressed" in esophageal or kidney tumors as compared to normal esophagus and kidney tissue (Example 18, p. 142). There is no guidance on how to use this information. No levels (relative or absolute) are disclosed. This information is too sparse to allow the encoding

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polynucleotide to be used as a diagnostic marker for esophageal or kidney tumor. It is not disclosed what type(s) of esophageal or kidney tumor was analyzed. It is not clear if the finding can be generalized to all tumors from that tissue type. The skilled artisan trying to use the results for diagnostic purposes would not know if the results were significant or under what conditions a difference in expression could be detected. It is not clear, for example, if overexpression occurred in 1/10 or 10/10 kidney tumors in pooled sample of 10, with the possibility that extremely high levels from one kidney tumor made levels in a pooled sample detectable even though the other nine kidney tumors in the pool had normal levels. Without more specifics about necessary sample size, expression level range for normal and tumor tissues, types of esophageal or kidney tissue that can used, and other factors, the specification has not provided the invention in a form readily usable by the skilled such that significant further experimentation is unnecessary. Because it is not known if the nucleic acid is involved in causing (or suppressing) the tumor, the skilled artisan could not use it therapeutically as target for treatment of a tumor. It is noted that even if the nucleic acid had utility as a tumor marker, the encoded polypeptide would have no such utility since there is no reason to suspect that there is alteration of polypeptide sequence or amount in esophageal or kidney tumor versus normal tissue.

For these reasons, there is no substantial and specific utility for the nucleic acid of SEQ ID NO:81.

Claims 1-20 are also rejected under 35 U.S.C. 112, first paragraph. Specifically, since the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the art clearly would not know how to use the claimed invention.

The specification does not provide sufficient guidance or working examples to be able to use the nucleic acid diagnostically or therapeutically, for example in association with esophageal or kidney tumors, to be able to use the claimed invention without undue experimentation. It would require significant further experimentation to be able to use the claimed nucleic acid also because no definite function has been determined for the encoded protein and there is no definite function supported by the prior art.

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Claims 1-6, 9, 10 and 14-20 are rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The claims are drawn to nucleic acids having at least 80%, 85%, 90%, 95% or 99% sequence identity with a particular disclosed sequence or which hybridizes to a disclosed sequence. The claims do not require that the nucleic acid or encoded polypeptide possess any particular biological activity, nor any particular conserved structure, or other disclosed distinguishing feature. Thus, the claims are drawn to a genus of nucleic acids that is defined only by sequence identity.

To provide adequate written description and evidence of possession of a claimed genus, the specification must provide sufficient distinguishing identifying characteristics of the genus. The factors to be considered include disclosure of compete or partial structure, physical and/or chemical properties, functional characteristics, structure/function correlation, methods of making the claimed product, or any combination thereof. In this case, the only factor present in the claim is a partial structure in the form of a recitation of percent identity. There is not even identification of any particular portion of the structure that must be conserved. Accordingly, in the absence of sufficient recitation of distinguishing identifying characteristics, the specification does not provide adequate written description of the claimed genus. Which nucleic acids of the genus comprising the required sequence are part of the invention has not been set forth.

Other claims are drawn to a nucleic acid encoding specifically the extracellular domain of the polypeptide of SEQ ID NO:82 (with our without its signal sequence), even though no extracellular domain has been described. While a signal peptide was identified as amino acids 1-25 of SEQ ID NO:82 (Fig. 82), the specification does not provide information about if the protein is transported to/through the cell's membrane. Therefore, a nucleic acid encoding an extracellular domain has not been described.

Vas-Cath Inc. v. Mahurkar, 19USPQ2d 1111, clearly states "applicant must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention. The invention is, for purposes of the 'written description' inquiry,

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whatever is now claimed." (See page 1117.) The specification does not "clearly allow persons of ordinary skill in the art to recognize that [he or she] invented what is claimed." (See Vas-Cath at page 1116). As discussed above, the skilled artisan cannot envision the detailed chemical structure of the encompassed genus of polypeptides, and therefore conception is not achieved until reduction to practice has occurred, regardless of the complexity or simplicity of the method of isolation. Adequate written description requires more than a mere statement that it is part of the invention and reference to a potential method of isolating it. The compound itself is required. See Fiers v. Revel, 25 USPQ2d 1601 at 1606 (CAFC 1993) and Amgen Inc. v. Chugai Pharmaceutical Co. Ltd., 18 USPQ2d 1016.

One cannot describe what one has not conceived. See *Fiddes v. Baird*, 30 USPQ2d 1481 at 1483. In *Fiddes*, claims directed to mammalian FGF's were found to be unpatentable due to lack of written description for that broad class. The specification provided only the bovine sequence.

Therefore, only isolated nucleic acid comprising the sequence set forth in SEQ ID NO: 81 (or the full-length coding sequence of the cDNA deposited under ATCC 203317) or encoding the polypeptide of SEQ ID NO:82 with our without its signal sequence, but not the full breadth of the claim meets the written description provision of 35 U.S.C. § 112, first paragraph.

Applicant is reminded that *Vas-Cath* makes clear that the written description provision of 35 U.S.C. § 112 is severable from its enablement provision (see page 1115).

Claim Rejections - 35 USC § 112, Second Paragraph

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-6, 9, 10, 14, 15 and dependent claims 7, 8, 11-13, 16 and 17-20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1-6, 9, 10, 14 and dependent claims are indefinite because of the recitation of "extracellular domain". There has been no extracellular domain identified. While a signal peptide was identified as amino acids 1-25 of SEQ ID NO:82 (see Fig. 82), it is unclear where

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the signal sequence causes the protein to be transported. Accordingly, the limitation that the claimed nucleic acid encodes an "extracellular domain" (for example see claim 1, parts (c) and (d)) is indefinite.

Claims 14 and 15 and dependent claim 16 are also indefinite because the metes and bounds of the claims are not clear. There are no conditions of stringency discussed in claims 14 or 15. It is not clear for claim 14 if non-specific hybridization is included in which structural relatedness is of little consequence. Further, while the skilled artisan understands the general concept of hybridization under "stringent conditions", what specific conditions are intended by the use of the term "stringent" in claim 15 is unknown. The specification discusses stringent conditions through examples without providing a limiting definition (see ¶[0227]). What conditions of stringency are used in any particular situation are determined by the specificity of hybridization desired by the practitioner. In this case, the desired specificity is unknown. If there is a structural relatedness (limitation) that is being defined by the conditions, then those conditions or range of conditions must be clear in the claim.

35 U.S.C. § 102

The following rejection under 35 U.S.C. § 102 is made under the assumption that the effective filing date for the instantly claimed invention is 05/08/2002, which is the actual filing date of the instant application. Applicant is advised that the instant application can only receive benefit under 35 U.S.C. §120 from an earlier application which meets the requirements of 35 U.S.C. § 112, first paragraph, with respect to the new claimed invention. Because the instant application does *not* meet the requirements of 35 U.S.C. § 112, first paragraph, for the reasons given above and it is a continuing application of Serial Number 10/006,867, the prior application also does not meet those requirements for the claimed invention and, therefore, is unavailable under 35 U.S.C. § 120.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

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(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 14-16 are rejected under 35 U.S.C. 102(b) as being anticipated by GenBank Accession AA040433.

GenBank Accession AA040433 teaches a nucleic acid which is 97% identical to SEQ ID NO:81 of the instant application over 381 consecutive bases (see below sequence comparison). This nucleic acid would hybridize according to the limitations of claims 14-16 of the instant application.

Claims 1-10, 12-20 are rejected under 35 U.S.C. 102(b) as being anticipated by WO 200070049.

WO 200070049 teaches the nucleic acid sequence of SEQ ID NO:39 which is 1720 nucleotides long and 100% identical to nucleotides 1-1720 (which includes the full coding region) of SEQ ID NO:81 of the instant application. The encoded protein sequence (SEQ ID NO:18) is identical to SEQ ID NO:82 of the instant application (see below comparison), with the signal sequence designated as amino acids 1-25 (Table 2 of WO). The full-length nucleic acid and vectors and host cells comprising the nucleic acid (p. 53, lines 9-22 and p. 31, line 17- p. 32, line 31) is described. That description includes a nucleic acid encoding a polypeptide lacking its associated signal sequence since disclosed expression in mammalian host cells necessarily is a teaching of production of the encoding protein lacking its signal sequence due to post-translation processing.

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AA040433/c COMPARISON of AA040433 to SEQ ID NO:81
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                                                      linear EST 10-MAY-1997
LOCUS
                                    421 bp
DEFINITION zk46c09.sl Soares pregnant uterus MbHPU Homo sapiens cDNA clone
           IMAGE: 485872 3', mRNA sequence.
ACCESSION
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           AA040433.1 GI:1516711
VERSION
KEYWORDS
           EST.
SOURCE
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           Mammalia; Eutheria; Primates; Catarrhini; Hominidae; Homo.
REFERENCE
           Hillier, L., Lennon, G., Becker, M., Bonaldo, M.F., Chiapelli, B.,
 AUTHORS
           Chissoe, S., Dietrich, N., DuBuque, T., Favello, A., Gish, W.,
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Hawkins, M., Hultman, M., Kucaba, T., Lacy, M., Le, M., Le, N.,
          Mardis, E., Moore, B., Morris, M., Parsons, J., Prange, C., Rifkin, L.,
          Rohlfing, T., Schellenberg, K., Soares, M.B., Tan, F., Thierry-Meg, J.,
          Trevaskis, E., Underwood, K., Wohldmann, P., Waterston, R., Wilson, R.
          and Marra, M.
 TITLE
          Generation and analysis of 280,000 human expressed sequence tags
          Genome Res. 6 (9), 807-828 (1996)
 JOURNAL
          97044478
 MEDLINE
          8889549
  PUBMED
COMMENT
          Contact: Wilson RK
          Washington University School of Medicine
          4444 Forest Park Parkway, Box 8501, St. Louis, MO 63108
          Tel: 314 286 1800
          Fax: 314 286 1810
          Email: est@watson.wustl.edu
          This clone is available royalty-free through LLNL; contact the
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                   went through one round of normalization. Library
                   constructed by M. Fatima Bonaldo."
ORIGIN
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 Query Match
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                              0; Mismatches
                                               8;
                                                  Indels
                                                            4; Gaps
                                                                       2;
 Matches 372; Conservative
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Qy
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LOCUS
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VERSION
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 ORGANISM Homo sapiens
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REFERENCE
         Tang, Y.T., Yue, H., Lal, P., Burford, N., Bandman, O., Baughn, M.R.,
 AUTHORS
         Azimzai, Y., Lu, D.A. and Patterson, C.
 TITLE
         Extracellular signaling molecules
 JOURNAL
         Patent: WO 0070049-A 39 23-NOV-2000;
         Incyte Genomics, Inc. (US)
                Location/Qualifiers
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 Query Match
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 Best Local Similarity
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                         0: Mismatches
                                       0: Indels
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                                                           0;
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Qy
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Ov
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D.L.	241		300
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Qy	1141	CCGCCAGGACTGCCAGCGTGTGACCTGTCCCACCGAGTACCCCTGCCGTCACCCCGAGAA	1200

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      1441 TGGCCCAAGGCCACACAGCCAGAATCTTCCACTTGACTCAGATCAAGAAAGTCAGGAAGC 1500
Qy
          1441 TGGCCCAAGGCCACAGCCAGAATCTTCCACTTGACTCAGATCAAGAAAGTCAGGAAGC 1500
Db
      1501 AAGACTTCCAGAAAGAGGCACAGCACTTCCGACTGCTCGCTGGCCCCCACGAAGGTCACT 1560
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          1501 AAGACTTCCAGAAAGAGGCACAGCACTTCCGACTGCTCGCTGGCCCCCACGAAGGTCACT 1560
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      1561 GGAACGTCTTCCTAGCCCAGACCTGGAGCTGAAGGTCACGGCCAGTCCAGACAAAGTGA 1620
Qу
          1561 GGAACGTCTTCCTAGCCCAGACCTGGAGCTGAAGGTCACGGCCAGTCCAGACAAAGTGA 1620
Db
      1621 CCAAGACATAACAAAGACCTAACAGTTGCAGATATGAGCTGTATAATTGTTGTTATTATA 1680
Qу
          1621 CCAAGACATAACAAAGACCTAACAGTTGCAGATATGAGCTGTATAATTGTTGTTATTATA 1680
Db
      1681 TATTAATAAATAAGAAGTTGCATTACCCTCAAAAAAAAA 1720
Qу
          1681 TATTAATAAATAAGAAGTTGCATTACCCTCAAAAAAAAA 1720
Db
Claim 1; Page 90-91; 114pp; English.
 Ouerv Match
                   100.0%; Score 2545; DB 4;
 Best Local Similarity
                   100.0%; Pred. No. 3.4e-172;
 Matches 451; Conservative
                        0; Mismatches
                                     0;
                                        Indels
                                               0; Gaps
                                                        0;
Qy=seq id NO:82 1 MVPEVRVLSSLLGLALLWFPLDSHARARPDMFCLFHGKRYSPGESWHPYLEPQGLMYCLR 60
          1 MVPEVRVLSSLIGIALLWFPLDSHARARPDMFCLFHGKRYSPGESWHPYLEPQGLMYCLR 60
Db
        61 CTCSEGAHVSCYRLHCPPVHCPQPVTEPQQCCPKCVEPHTPSGLRAPPKSCQHNGTMYQH 120
Qу
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Db
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Qу
          121 GEIFSAHELFPSRLPNQCVLCSCTEGQIYCGLTTCPEPGCPAPLPLPDSCCQACKDEASE 180
Db
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          181 QSDEEDSVQSLHGVRHPQDPCSSDAGRKRGPGTPAPTGLSAPLSFIPRHFRPKGAGSTTV 240
Db
       241 KIVLKEKHKKACVHGGKTYSHGEVWHPAFRAFGPLPCILCTCEDGRQDCQRVTCPTEYPC 300
Qу
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Db	241	
Qy	301	RHPEKVAGKCCKICPEDKADPGHSEISSTRCPKAPGRVLVHTSVSPSPDNLRRFALEHEA 360
Db	301	RHPEKVAGKCCKICPEDKADPGHSEISSTRCPKAPGRVLVHTSVSPSPDNLRRFALEHEA 360
Qy		SDLVEIYLWKLVKDEETEAQRGEVPGPRPHSQNLPLDSDQESQEARLPERGTALPTARWP 420
Db		SDLVEIYLWKLVKDEETEAQRGEVPGPRPHSQNLPLDSDQESQEARLPERGTALPTARWP 420
Qy	421	PRRSLERLPSPDPGAEGHGQSRQSDQDITKT 451
Db	421	PRRSLERLPSPDPGAEGHGOSROSDODITKT 451

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Claire M. Kaufman, whose telephone number is (571) 272-0873. Dr. Kaufman can generally be reached Monday, Tuesday and Thursday from 8:30AM to 2:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tony Caputa, can be reached at (571) 272-0829.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (571) 272-1600.

Official papers filed by fax should be directed to (703) 872-9306. NOTE: If applicant does submit a paper by fax, the original signed copy should be retained by the applicant or applicant's representative. NO DUPLICATE COPIES SHOULD BE SUBMITTED so as to avoid the processing of duplicate papers in the Office. **Please** advise the examiner at the telephone number above before facsimile transmission.

Claire M. Kaufman, Ph.D.

Patent Examiner, Art Unit 1646

January 6, 2005